

NSF Implementation of the Research Performance Progress Report

Research.gov Webinar Series

October 2012



★ Jean I. Feldman

- Head, Policy Office, Division of Institution & Award Support
- Chair, RBM/RPPR Working Group
- Office of Budget, Finance & Award Management
- 703.292.4573
- jfeldman@nsf.gov

★ Erika Rissi

- Lead, Research.gov Business Office
- Office of Budget, Finance & Award Management
- 703.292.4816
- erissi@nsf.gov

- ★ RPPR Background and Context
- ★ NSF Implementation of the RPPR
 - Components
- ★ Key Differences
- ★ Implementation Dates
- ★ NSF Implementation and Pilot
- ★ How Can I Get More Information?

RESEARCH PERFORMANCE PROGRESS REPORT

Background and Context



- ★ The Research Performance Progress Report (RPPR) is the result of a government-wide effort to create greater consistency in the administration of federal research awards by streamlining and standardizing reporting formats
 - The RPPR is the product of Research Business Models (RBM) Subcommittee of the Committee on Science (CoS), a committee of the National Science and Technology Council (NSTC)
 - One of the RBM Subcommittee's priority areas is to create greater consistency in the administration of federal research awards through streamlining and standardization of forms and reporting formats
 - Upon implementation, the RPPR will be used by federal agencies that support research and research-related activities.

review and clearance by OMB. Agencies also may use other OMB approved reporting formats, such as the Performance Progress Report (PPR), if those formats are better suited to the agency's reporting requirements, for example, for research centers/institutes, clinical trials, or fellowship/training awards or in connection to reporting on program performance.

Agency RPPR Implementation Plans

- [DHHS/NIH \(and Other PHS Agencies\)](#)
 - [January 2012 update](#)
- [DHS](#)
- [DOC](#)
- [DOD](#)
- [DOE](#)
- [DoEd/Institute of Education Sciences](#)
- [DOJ](#)
 - [Office of Justice Programs](#)
 - [National Institute of Justice/Office of Justice Programs](#)
- [EPA](#)
- [NASA](#)
- [NEH](#)
- [NSF](#)
- [USDA](#)
 - [Forest Services](#)
 - [NIFA](#)

[OSTP/OMB Policy Letter](#)

RPPR Format

- [RPPR Format as approved by OMB/OSTP](#)
- [RPPR Format associated with the second Federal Register Notice](#)
- [RPPR Draft Format](#)

RPPR Data Dictionary

- [RPPR Data Dictionary](#)
- [RPPR Data Dictionary Guide](#)

Federal Register Notices on Research Performance Progress Reporting

- [Final Notice of a Uniform RPPR Format](#)
- [Request for Public Comment on Standardized RPPR Format](#)

NSF IMPLEMENTATION OF THE RESEARCH PERFORMANCE PROGRESS REPORT



- ★ NSF will offer a new project reporting service on Research.gov which implements the RPPR format, replacing NSF's annual, final, and interim project reporting capabilities in the FastLane System
 - One of the key drivers in development of the project reporting service is to improve the user experience
 - Another key driver is to incorporate more structured collection of the project reports data for enhanced NSF use
 - NSF has led research agencies in the development of an RPPR data dictionary based upon the OMB RPPR approved policy

★ Mandatory Category:

- Accomplishments: What was done? What was learned?

★ Optional Categories:

- Products: What has the project produced?
- Participants & Other Collaborating Organizations: Who has been involved?
- Impact: What is the impact of the project? How has it contributed?
- Changes/Problems
- Special Reporting Requirements (where applicable)
- Appendix 1: Demographic Information for Significant Contributors

Key Differences of the New Project Report System



- ★ Project reporting dashboard
- ★ Structured collection of data
- ★ Rich text editor
- ★ PDF upload to support images, charts, and other complex graphics
- ★ Improved citation search through Thomson Web of Science
- ★ Special reporting requirements are controlled by solicitation
- ★ PI no longer provides demographic information on significant participants

- ★ Phase I Pilot – Begins October 22
 - ★ Six organizations
 - ★ FastLane freeze 10/1-10/21
- ★ Phase 2 Pilot - Begins in November
 - ★ Additional 25 organizations
 - ★ Preceded by a FastLane freeze
- ★ Final Target Launch Date: January 2013
 - ★ All NSF awards and organizations
 - ★ NSF-wide FastLane freeze

Detailed Timeline and Activities



October 2012	November 2012	December 2012	January 2013
Pilot Phase 1	Pilot Phase 2	Full FastLane FREEZE	Full Operations
<i>6 Pilot Organizations</i>	<i>Expand to 25 Additional Pilot Organizations</i>	<i>Organizations NSF-wide freeze on new project reporting in FastLane</i>	<i>All organizations migrated to Research.gov</i>
<ul style="list-style-type: none"> • Communication with affected PIs and organizations • FastLane freeze for 6 pilot organizations • POs approve all pending FastLane project reports for 6 pilot organizations • Overdue dates will be extended to 3/15 for all reports due between 9/15-12/3 	<ul style="list-style-type: none"> • Communication with affected PIs and organizations • FastLane freeze for 25 additional pilot organizations • POs approve pending FastLane project reports for 25 pilot organizations • Due dates extended to 1/22 for all reports due 12/4-1/21 with overdue date of 4/30 	<ul style="list-style-type: none"> • Communication with all NSF PIs and organizations • POs approve all pending FastLane project reports 	<ul style="list-style-type: none"> • Turn off FastLane and migrate all users to Research.gov • Resume regular project report review and approval activities

- ★ During the pilot phase (from Research.gov):
 - PIs in the pilot will use Research.gov to view reporting requirements and create/submit all project reports
 - PIs not in the pilot will be directed to FastLane
 - All SPOs will be able to search for and view reports through FastLane

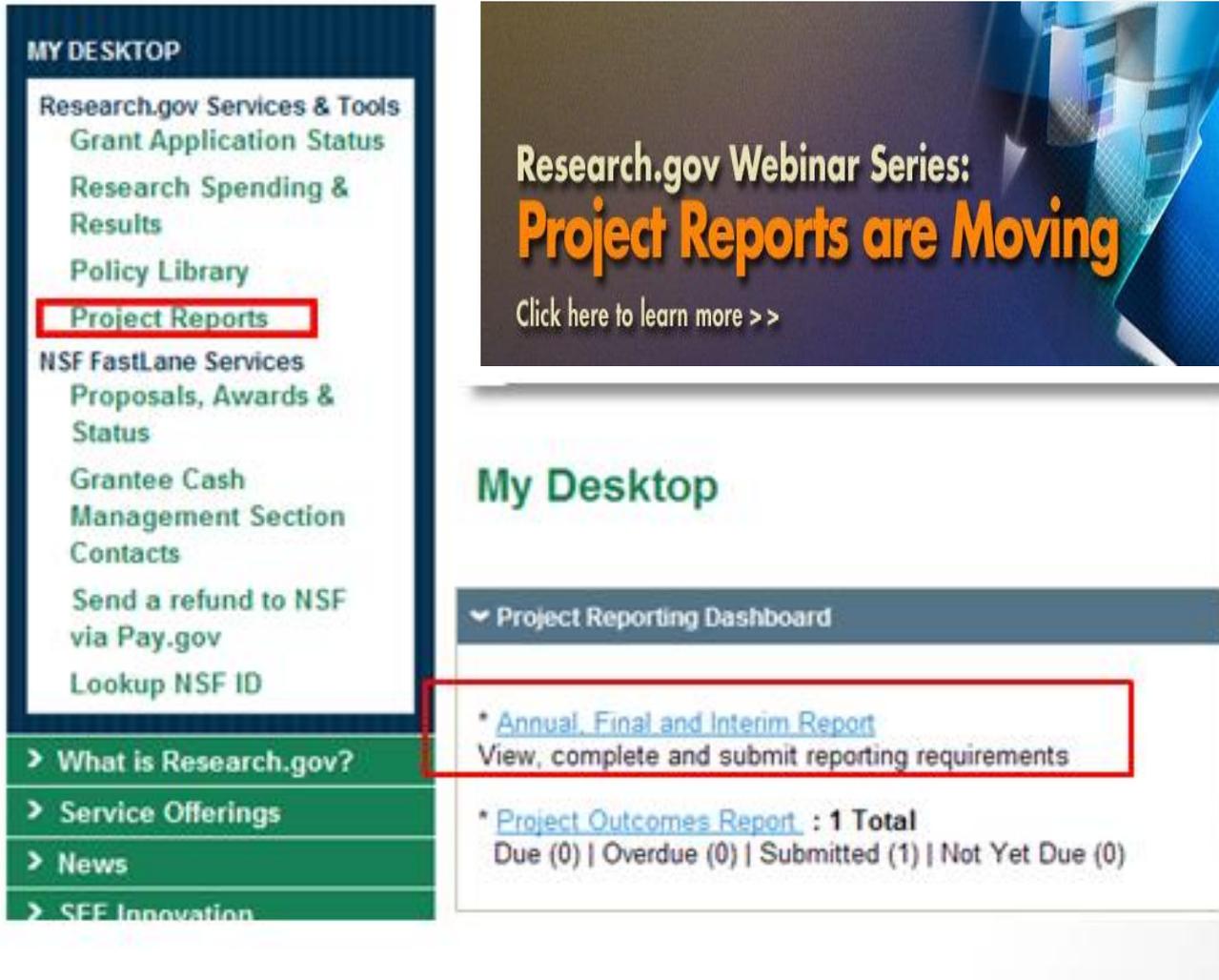
- ★ During the pilot phase (from FastLane):
 - PIs in pilot will be directed to Research.gov to view reporting requirements and create/submit all project reports
 - PIs not in the pilot will be able to submit project reports through FastLane PRS
 - SPOs will be able to search for and view reports through FastLane

★ What does this mean for PIs in the pilot?

- PIs in Phase I should have stopped submitting project reports in FastLane beginning October 1 and then use Research.gov to prepare and submit starting October 22
- PIs in Phase 2 should stop submitting project reports in FastLane beginning in early November and then use Research.gov to prepare and submit starting in late November (exact date TBD)
- Many report due/overdue dates will be adjusted
- **Note:** *PIs and SPOs in Phase I have already received communications regarding the actions needed*

- ★ Full rollout to all NSF awardee organizations is targeted for January 2013
- ★ The same rollout mechanism will be used
 - Suspend FastLane submissions for a period of time
 - Begin Research.gov submissions
 - Adjust due/overdue dates

Project Reports Access: PI View



MY DESKTOP

- Research.gov Services & Tools
 - Grant Application Status
 - Research Spending & Results
 - Policy Library
 - Project Reports**
- NSF FastLane Services
 - Proposals, Awards & Status
 - Grantee Cash Management Section
 - Contacts
 - Send a refund to NSF via Pay.gov
 - Lookup NSF ID

> What is Research.gov?
 > Service Offerings
 > News
 > SFF Innovation

Research.gov Webinar Series: Project Reports are Moving
 Click here to learn more >>

My Desktop

▼ Project Reporting Dashboard

- * [Annual, Final and Interim Report](#)
View, complete and submit reporting requirements
- * [Project Outcomes Report](#) : 1 Total
Due (0) | Overdue (0) | Submitted (1) | Not Yet Due (0)

- ★ Login with FastLane User ID and password
- ★ Access Project Report Dashboard or navigation

Annual, Final, and Interim Project Reports: PI View



POWERING KNOWLEDGE AND INNOVATION

< Return to Research.gov Home | Contact Us | Project Reports Help

Welcome | August 23, 2012

[Print Page](#) Adjust Font Size: [A](#) [A](#) [A](#)

Project Reports

[What is the difference between an Annual, Final, Interim and Project Outcomes Report?](#)

Reports Due | Reports Due < 12 Months | All Awards

Show per page

PAGE: 1 of 1

Award Number	Award Title	Report Type	Status	Days Until Overdue	Report Overdue Date	Action
0830294	NEESR-SG: Smart and Resilient Steel Walls for Reducing Earthquake Impacts	Annual	Returned	 OVERDUE	10/01/2011	Create/Edit
0723064	MRI: Acquisition of Equipment to Simulate Collapse of Engineered Systems under E...	Final	Due	 OVERDUE	05/01/2012	Create/Edit
0421577	NEESR-SG: Seismic Behavior, Analysis and Design of Complex Wall Systems	Final	Due	 OVERDUE	06/30/2012	Create/Edit
1000440	Collaborative Research: Machine Vision Enhanced Post Earthquake Inspection and ...	Annual	Due	 OVERDUE	07/27/2012	Create/Edit

PAGE: 1 of 1

Export options: [CSV](#) | [Excel](#) | [XML](#)

Show per page



[Return to Research.gov Home](#) | [Contact Us](#) | [Project Reports Help](#)

Welcome Laura N. Lowes | August 23, 2012

 [Print Page](#) Adjust Font Size: [A](#) [A](#) [A](#)

Award 0723064 - Final Project Report

[< All Reports for this Award](#)

[Show Report Summary](#)

Available Actions:

[Preview Report](#)

[View Previous Annual Report](#)

[View Help](#)

Report Content

[Cover](#)

[Accomplishments](#)

[Products](#)

[Participants](#)

[Impact](#)

[Changes/
Problems](#)

[Special
Requirements](#)

[< Save / Previous Section](#)

Impact - What is the impact of th

INSTRUCTIONS - This component will period.

For NSF purposes, include, where appropriate, scientists, or new disciplines.

If there is nothing significant to report

* What is the impact on the development of the principal discipline(s) of the project?

Describe how findings, results, techniques that were developed or extended, or other products from the project made an impact or are likely to make an impact on the base of knowledge, theory, and research and/or pedagogical methods in the principal disciplinary field(s) of the project.

Nothing to report



Characters Remaining: 8000

Project Report: PI View

Search Products/Journals



Cover Accomplishments **Products** Participants Imp

Products - What has the project produced?
[< Back to Publication Entries](#)

Search Publications:
Enter search criteria to search Thomson Reuters Web of Science (R) required 'Author' field) will help to speed up your search.

* Required fields

Type: Journal

* Author(s):

Title:

Journal:

Conference:

Time Span:

[< Back to Publication Entries](#)

- ★ Products:
 - Publications
 - Technologies or techniques
 - Inventions, patent applications, and/or licenses
 - Websites
 - Other Products

- ★ Add a publication citation from Thomson Web of Science

Project Report: PI View

Upload PDF File



Supporting Files

You may upload pdf files with images, tables, charts, or other graphics
MB each.

Please select a file.

Description (required if uploading a file)

[Back to the top](#)

- ★ PDF files can be uploaded for:
 - Accomplishments
 - Products
 - Special Requirements

Project Report: SPO View

Search Project Reports



Reports Due | Reports Due < 12 Months | **Report Search** | All Awards

Search for an Award's Project Report (s):

Search by any of the following criteria.

Organization Name:

Award Number:

Award Title:

Award Status: Active Closed

PI/Co-PI Last Name:

Managing Division Code: The awarding division codes should be captured in the award letter.

Report Type: Required Annual (APR) Final (FPR) Project Outcomes (POR) OR Interim (IPR)

Search Type: All project reports Due Under Review Approved

Privacy Policy | FOIA | No Fear Act Data | USA.gov | NSF.gov
Led by The National Science Foundation, 4201 Wilson Boulevard, Arlington, Virginia 22230, USA

- ★ SPOs can search for a list of reports by:
 - Organization Name
 - Award Number
 - Award Title
 - Award Status
 - PI/co-PI Last Name
 - Managing Division
 - Report Type
 - Search Type

★ Research.gov Webinar Series

- For directions, email webinars@research.gov
 - **November 16:** How Can Research.gov Help Me?

★ Research.gov Website: [Project Report Info Page](#) (for PIs and SPOs)

★ Research.gov Help Desk

- Rgov@nsf.gov or 1-800-381-1532

Research.gov offers grants management services...



- ★ Access Services
 - InCommon
 - Single Sign-on with FastLane
- ★ Reporting Services
 - Project Outcomes Report
 - Annual, Final, and Interim Reports (Coming Soon)
- ★ Financial Services
 - The Federal Financial Report
 - Access to financial services
 - Award Cash Management Service (Coming Soon)
- ★ Application Services
 - Grants Application Status
 - Application Submission Web Services

A screenshot of the Research.gov website. The header includes the Research.gov logo, a search bar, and navigation links like Home, Contact Us, Site Map, and Help. The main content area features a large banner for "New! Discover the Outcomes of NSF's Science, Engineering & Education Innovation" with a lightbulb graphic. Below this is a "Our Services" section with links to "Research Spending & Results", "Science, Engineering, and Education Innovation (SEE Innovation)", "Policy Library", and "Grants Application Status". A sidebar on the left contains "MY DESKTOP" (Research.gov Services & Tools, Research Spending & Results, Policy Library), "APPLY FOR GRANTS" (Grants.gov, NSF FastLane, NASA Inspires), and "FEEDBACK" (Tell Us What You Think). A right sidebar shows "My Links" with "Federal Links" (NSF.gov, USA.gov, Whitehouse.gov, DATA.gov) and "Research Community Links" (NCURA, FDP, SRA, AAAS, Science News, Science 360). The footer of the screenshot includes "RECOVERY.GOV" and a "Learn More" link.

- ★ Research Spending & Results
- ★ Policy Library
- ★ SEE Innovation
- ★ Research Headlines & Events



The screenshot shows the Research.gov website with the following elements:

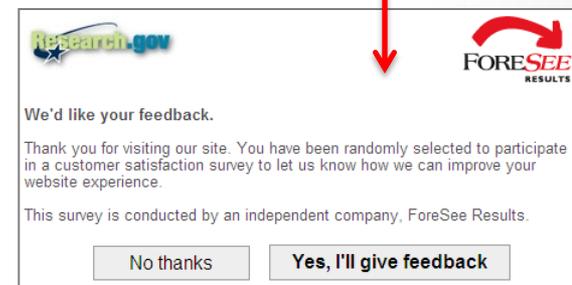
- Header:** "Research.gov" logo, search bar, "POWERING KNOWLEDGE AND INNOVATION" tagline, navigation links (Home, Contact Us, Site Map, Help), and date (October 12, 2011).
- Left Sidebar:** "LOGIN AS" section with "NSF Visitor" dropdown and "Login" button; "How Do I Login?" and "Register" links; a menu with "Who We Are", "Service Offerings", "News", "SEE Innovation" (expanded to show "Research Areas", "Locations", "Research Assets"), "APPLY FOR GRANTS" (with "Grants.gov", "NSF FastLane", "NASA Nspires" links), and "FEEDBACK" (with "Tell Us What You Think" link).
- Main Content:** "Understanding Quasars" article with a "Learn More" link and a space image; "Science, Engineering & Education Innovation" section with an NSF logo and text about NSF-funded projects; "Research Areas" section with "View All 12" link and "Astronomy & Space" category; "Locations" section with an interactive map and "Browse By Map" button.
- Bottom:** A list of state links: Alabama, Alaska, Arizona, Arkansas, California, Colorado, Connecticut, Indiana, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Nevada, New Hampshire, New Jersey, New Mexico, New York, North Carolina, North Dakota, Tennessee, Texas, Utah, Vermont, Virginia, Washington, West Virginia, West Virginia.

- ★ Your feedback will help Research.gov prioritize and enhance services
 - Take our ForeSee pop-up survey
 - Complete online feedback form
 - **Questions or comments?**
Email us at feedback@research.gov



Complete the online feedback form

Take the pop-up survey





- Appalachian State University
- College of Charleston
- Cornell University
- Hampton University
- Indiana University
- Refactored Materials

Appendix: Pilot Phase 2 Organizations



- Arizona State University
- Duke University
- East Carolina University
- Illinois Institute of Technology
- Ohio State University
- Old Dominion University Research Foundation
- San Diego State University Foundation
- Syracuse University
- University of California-Irvine
- University of California-Los Angeles
- University of California-San Diego
- University of California-San Francisco
- University of Florida
- University of Georgia Research Foundation Inc
- University of Hawaii
- University of Illinois at Chicago
- University of Illinois at Springfield
- University of Illinois at Urbana-Champaign
- University of Maine
- University of Maine at Machias
- University of Maine Fort Kent
- University of New Hampshire
- University of Oklahoma Norman Campus
- University of Rochester