NSF Implementation of the Research Performance Progress Report

Research.gov Webinar Series

October 2012
Ask Early, Ask Often

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Today’s Agenda

- RPPR Background and Context
- NSF Implementation of the RPPR
  - Components
- Key Differences
- Implementation Dates
- NSF Implementation and Pilot
- How Can I Get More Information?
RESEARCH PERFORMANCE PROGRESS REPORT

Background and Context
The Research Performance Progress Report (RPPR) is the result of a government-wide effort to create greater consistency in the administration of federal research awards by streamlining and standardizing reporting formats.

- The RPPR is the product of Research Business Models (RBM) Subcommittee of the Committee on Science (CoS), a committee of the National Science and Technology Council (NSTC).
- One of the RBM Subcommittee’s priority areas is to create greater consistency in the administration of federal research awards through streamlining and standardization of forms and reporting formats.
- Upon implementation, the RPPR will be used by federal agencies that support research and research-related activities.
review and clearance by OMB. Agencies also may use other OMB approved reporting formats, such as the Performance Progress Report (PPR), if those formats are better suited to the agency’s reporting requirements, for example, for research centers/institutes, clinical trials, or fellowship/training awards or in connection to reporting on program performance.

**Agency RPPR Implementation Plans**

- DHHS/NIH (and Other PHS Agencies)
  - [January 2012 update](http://www.nsf.gov/bfa/dias/policy/rppr/index.jsp)
- DHS
- DOC
- DOD
- DoE
- DoEd/Institute of Education Sciences
- DOJ
  - Office of Justice Programs
  - National Institute of Justice/Office of Justice Programs
- EPA
- NASA
- NEH
- NSF
- USDA
  - Forest Services
  - NIFA

**OSTP/OMB Policy Letter**

**RPPR Format**

- [RPPR Format as approved by OMB/OSTP](http://www.nsf.gov/bfa/dias/policy/rppr/index.jsp)
- [RPPR Format associated with the second Federal Register Notice](http://www.nsf.gov/bfa/dias/policy/rppr/index.jsp)
- [RPPR Draft Format](http://www.nsf.gov/bfa/dias/policy/rppr/index.jsp)

**RPPR Data Dictionary**


**Federal Register Notices on Research Performance Progress Reporting**

- [Final Notice of a Uniform RPPR Format](http://www.nsf.gov/bfa/dias/policy/rppr/index.jsp)
- [Request for Public Comment on Standardized RPPR Format](http://www.nsf.gov/bfa/dias/policy/rppr/index.jsp)
NSF IMPLEMENTATION OF THE RESEARCH PERFORMANCE PROGRESS REPORT
NSF Implementation

★ NSF will offer a new project reporting service on Research.gov which implements the RPPR format, replacing NSF’s annual, final, and interim project reporting capabilities in the FastLane System

- One of the key drivers in development of the project reporting service is to improve the user experience
- Another key driver is to incorporate more structured collection of the project reports data for enhanced NSF use
- NSF has led research agencies in the development of an RPPR data dictionary based upon the OMB RPPR approved policy
Mandatory Category:
- Accomplishments: What was done? What was learned?

Optional Categories:
- Products: What has the project produced?
- Participants & Other Collaborating Organizations: Who has been involved?
- Impact: What is the impact of the project? How has it contributed?
- Changes/Problems
- Special Reporting Requirements (where applicable)
- Appendix 1: Demographic Information for Significant Contributors
Key Differences of the New Project Report System

- Project reporting dashboard
- Structured collection of data
- Rich text editor
- PDF upload to support images, charts, and other complex graphics
- Improved citation search through Thomson Web of Science
- Special reporting requirements are controlled by solicitation
- PI no longer provides demographic information on significant participants
Key Implementation Dates

★ Phase I Pilot – Begins October 22
  ★ Six organizations
  ★ FastLane freeze 10/1-10/21

★ Phase 2 Pilot - Begins in November
  ★ Additional 25 organizations
  ★ Preceded by a FastLane freeze

★ Final Target Launch Date: January 2013
  ★ All NSF awards and organizations
  ★ NSF-wide FastLane freeze
### Detailed Timeline and Activities

<table>
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<tr>
<th>October 2012</th>
<th>November 2012</th>
<th>December 2012</th>
<th>January 2013</th>
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<tbody>
<tr>
<td>Pilot Phase 1</td>
<td>Pilot Phase 2</td>
<td>Full FastLane FREEZE</td>
<td>Full Operations</td>
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<tr>
<td>6 Pilot Organizations</td>
<td><strong>Expand to 25 Additional Pilot Organizations</strong></td>
<td>Organizations NSF-wide freeze on new project reporting in FastLane</td>
<td>All organizations migrated to Research.gov</td>
</tr>
</tbody>
</table>

- **October 2012**
  - 6 Pilot Organizations
  - Communication with affected PIs and organizations
  - FastLane freeze for 6 pilot organizations
  - POs approve all pending FastLane project reports for 6 pilot organizations
  - Overdue dates will be extended to 3/15 for all reports due between 9/15-12/3

- **November 2012**
  - **Expand to 25 Additional Pilot Organizations**
  - Communication with affected PIs and organizations
  - FastLane freeze for 25 additional pilot organizations
  - POs approve pending FastLane project reports for 25 pilot organizations
  - Due dates extended to 1/22 for all reports due 12/4-1/21 with overdue date of 4/30

- **December 2012**
  - Communication with all NSF PIs and organizations
  - POs approve all pending FastLane project reports

- **January 2013**
  - Turn off FastLane and migrate all users to Research.gov
  - Resume regular project report review and approval activities
During the pilot phase (from Research.gov):
- PIs in the pilot will use Research.gov to view reporting requirements and create/submit all project reports
- PIs not in the pilot will be directed to FastLane
- All SPOs will be able to search for and view reports through FastLane

During the pilot phase (from FastLane):
- PIs in pilot will be directed to Research.gov to view reporting requirements and create/submit all project reports
- PIs not in the pilot will be able to submit project reports through FastLane PRS
- SPOs will be able to search for and view reports through FastLane
What does this mean for PIs in the pilot?

- PIs in Phase I should have stopped submitting project reports in FastLane beginning October 1 and then use Research.gov to prepare and submit starting October 22.

- PIs in Phase 2 should stop submitting project reports in FastLane beginning in early November and then use Research.gov to prepare and submit starting in late November (exact date TBD).

- Many report due/overdue dates will be adjusted.

- **Note:** PIs and SPOs in Phase I have already received communications regarding the actions needed.
Full Rollout Plan

- Full rollout to all NSF awardee organizations is targeted for January 2013
- The same rollout mechanism will be used
  - Suspend FastLane submissions for a period of time
  - Begin Research.gov submissions
  - Adjust due/overdue dates
Project Reports Access: PI View

★ Login with FastLane User ID and password

★ Access Project Report Dashboard or navigation
### Project Reports

**Reports Due**
- Reports Due < 12 Months
- All Awards

**Show 25 per page**

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**Export options:** | CSV | Excel | XML |

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*POWERING KNOWLEDGE AND INNOVATION*
Award 0723064 - Final Project Report

Report Content

Impact - What is the impact on the development of the principal discipline(s) of the project?

Describe how findings, results, techniques that were developed or extended, or other products from the project made an impact or are likely to make an impact on the base of knowledge, theory, and research and/or pedagogical methods in the principal disciplinary field(s) of the project.

□ Nothing to report
Products:
- Publications
- Technologies or techniques
- Inventions, patent applications, and/or licenses
- Websites
- Other Products

Add a publication citation from Thomson Web of Science
PDF files can be uploaded for:
- Accomplishments
- Products
- Special Requirements
SPOs can search for a list of reports by:

- Organization Name
- Award Number
- Award Title
- Award Status
- PI/co-PI Last Name
- Managing Division
- Report Type
- Search Type
How Can I Get More Information?

★ Research.gov Webinar Series
   - For directions, email webinars@research.gov
     • November 16: How Can Research.gov Help Me?

★ Research.gov Website: Project Report Info Page (for PIs and SPOs)

★ Research.gov Help Desk
   - Rgov@nsf.gov or 1-800-381-1532
Research.gov offers grants management services...

★ Access Services
  – InCommon
  – Single Sign-on with FastLane

★ Reporting Services
  – Project Outcomes Report
  – Annual, Final, and Interim Reports
    (Coming Soon)

★ Financial Services
  – Access to financial services
  – Award Cash Management Service
    (Coming Soon)

★ Application Services
  – Grants Application Status
  – Application Submission Web Services
...and public facing services

★ Research Spending & Results
★ Policy Library
★ SEE Innovation
★ Research Headlines & Events
Provide feedback on Research.gov

Your feedback will help Research.gov prioritize and enhance services

- Take our ForeSee pop-up survey
- Complete online feedback form
- Questions or comments? Email us at feedback@research.gov

Complete the online feedback form

Take the pop-up survey
Appendix: Pilot Phase I Organizations

- Appalachian State University
- College of Charleston
- Cornell University
- Hampton University
- Indiana University
- Refactored Materials
Appendix: Pilot Phase 2 Organizations

- Arizona State University
- Duke University
- East Carolina University
- Illinois Institute of Technology
- Ohio State University
- Old Dominion University Research Foundation
- San Diego State University Foundation
- Syracuse University
- University of California-Irvine
- University of California-Los Angeles
- University of California-San Diego

- University of California-San Francisco
- University of Florida
- University of Georgia Research Foundation Inc
- University of Hawaii
- University of Illinois at Chicago
- University of Illinois at Springfield
- University of Illinois at Urbana-Champaign
- University of Maine
- University of Maine at Machias
- University of Maine Fort Kent
- University of New Hampshire
- University of Oklahoma Norman Campus
- University of Rochester